

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----X	
In re	:
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:
	:
Debtors.	:
	:
-----X	
	Chapter 11 Case No.
	08-13555 (JMP)
	(Jointly Administered)
	Ref. Docket No. 32786

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 19, 2012, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
21<sup>st</sup> day of December, 2012  
/s/ Cassandra Murray  
Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: BANK VONTOBEL AG  
FAO: MRS. DR. HEIDE SUDEROW GROB  
GOTTHARDSTRASSE 43  
ZURICH 8002 SWITZERLAND

Additional:

Transferee: BANK GUTMANN AG  
C/O BANK GUTMANN AKTIENGESELLSCHAFT  
SCHWARZENBERGPLATZ 16  
ATTN: WP  
VIENNA 1010 AUSTRIA

Your transfer of claim # 67373-07 is defective for the reason(s) checked below:

Other CURRENCY DOES NOT MATCH FILED PROOF OF CLAIM FOR ISIN

Docket Number 32786

Date 12/12/12

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 19, 2012.

**EXHIBIT B**

TIME: 16:33:06  
DATE: 12/19/12

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
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BANK GUTMANN AG	C/O BANK GUTMANN AKTIENGESELLSCHAFT SCHWARZENBERGPLATZ 16 ATTN: WP VIENNA 1010 AUSTRIA
BANK VONTOBEL AG	FAO: MRS. DR. HEIDE SUDEROW GROB GOTTHARDSTRASSE 43 ZURICH 8002 SWITZERLAND

Total Number of Records Printed 2